

PATENT Attorney Docket No. P05768US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

YOUNG, Won-Bin, et al.

Group Art Unit: 1653

SERIAL NO:

10/810,976

Examiner:

FILED: TITLE:

ŗ

March 26, 2004

Confirmation No: 9933

METHOD FOR HIGH THROUGHPUT ELUCIDATION OF

TRANSCRIPTIONAL PROFILES AND GENOME ANNOTATION

## INFORMATION DISCLOSURE STATEMENT FILED WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This information disclosure statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, or before the mailing of a first office action after the filing of a request for continued examination, whichever event occurs last.

Attached are Forms PTO/SB/08A and 08B (formerly Form PTO-1449) listing the relevant art known to the applicant herein. Copies of non-U.S. patents are enclosed. References that are U.S. patents are not required to be submitted. The Examiner is requested to consider the references and make them of record.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING** 

☑ deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA<sub>i</sub>22313-1450.

Date:_\D	1801

**FACSIMILE** 

☐ transmitted by facsimile to the Patent and Trademark Office, Art Unit \_\_\_\_\_ at Fax No. (703) 872-\_\_\_\_\_

The National

Applicants disclose herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose. Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement, except those identified above.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

Respectfully submitted,

HÉIDI S. NEBEL, Reg. No. 37,719

McKEE, VOORHEES & SEASE, P.L.C.

801 Grand Avenue, Suite 3200

Des Moines, Iowa 50309-2721

Phone No. (515) 288-3667 Fax No. (515) 288-1338

**CUSTOMER NO: 22885** 

- bja -

Attorneys of Record

Form PTO/SA08B (10-01) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substi	itute for form 1449A	VPTO		C	Complete if Known
				Application Number	10/810,976
INFO	RMATION D	ISC	LOSURE	Filing Date	March 26, 2004
STATEMENT BY APPLICANT				First Named Inventor	YOUNG, Won-Bin, et al.
• • • • • • • • • • • • • • • • • • • •			2.07	Art Unit	1653
(Use as many sheets as necessary)			cessary)	Examiner Name	
Sheet	1	of	3	Attorney Docket Number	P05768US01

	U.S. PATENT DOCUMENTS							
Examiner Initials *	Cite No.1	<u>Document Number</u> .  Number - Kind Code <sup>2</sup> ( <i>if known</i> ),	Publication Date MM-DD-YYYY					
	1	US- 5,364,783 A	11/15/1994	Ruley, Earl H. et al				
	2	US- 5,631,236	05/20/1997	Woo, Savio L.C., et al.				
	3	US- 5,922,601 A	07/13/1999	07/13/1999 Baetscher, Manfred et al				
	4	US- 5,434,065 A	07/18/1999	Mahan, Michael J. et al				
	5	US- 5,928,888	07/27/1999	Whitney, Michael A.				
	6	US- 5,968,798	10/19/1999	Hillman et al.				
	7	US- 5,985,266	11/16/1999	Link, Jr., et al.				
	8	US- 5,994,077	11/30/1999	Valdivia et al.				
		US-						

	FOREIGN PATENT DOCUMENTS									
Examiner Initials *	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appea					
	9	EP 0 761 822 A	03/12/1997	Univ Johns Hopkins Med						
	10	WO 98 14614 A	4/09/1998	Lexicon Genetics, Inc.						
	11	WO 98 53319 A	11/26/1998	Kinzler, Kenneth W; Vogelstein Bert (US); Univ Johns Hopkins (US)						
	12	WO 99/02719	01/21/1999	Ong, Christopher J, et al.; Univ. of British Columbia						
	13	WO 99 50426 A	10/07/1999	Lexicon Genetics, Inc.						
	14	WO 99 50426 A	10/07/1999	Lexicon Genetics, Inc.						
	15	WO 00/56874	09/28/2000	Hopkins, Nancy; Massachusetts Institute of Technology						
	16	WO 01/05970 A2	01/25/2001	Yue, Henry, et al. Incyte Genomics, Inc.						

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional)... See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or white See Kinds of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or white See Kinds of

on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Form PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substit	Substitute for form 1449B/PTO				Complete if Known				
					ı	Application Number	10/810,976		
INFORMATION DISCLOSURE		ı	Filing Date	March 26, 2004					
STATEMENT BY APPLICANT			ľ	First Named Inventor	YOUNG, Won-Bin, et al.				
"			71.1	Group Art Unit 1653					
(1	Jse as n	nany sheet	s as ne			Examiner Name			
Sheet		2	of	3		Attorney Docket Number	P05768US01		
		01	THER	PRIOR ART -	- NC	N PATENT LITERATUR	RE DOCUMENTS		
Examiner Initials *									
	17		nd CDN				resentational Difference Analysis ning, Natick, US 25 (3) 434-438 (1998)		
	18	Normal C	Colon Ti	ssues Probed E	Зу О	ene Expression Revealed E ligonucleotide Arrays," Proc y of Science. Washington, U	By Clustering Analysis of Tumor and seedings of the National Academy of JS. 96: 6745-6750 (1999)		
	19	Defined S	Subcelli		าร", เ	Journal of Cell Science 112	ne Motifs That Can Target Proteins to 1999, 4207-4211, The Company of		
	20	derived "	portable		ig th		c regulation <i>in situ</i> by using retrovirus- e. Pro., Natl. Acad. Sci USA Vol. 86,		
	21	BRONCHAIN, Odile J., et al. "A gene trap approach to <i>Xenopus</i> " Brief Communication , Current Biology 1999, 1195-1198, Elsevier Science Ltd., Great Britain							
	22	DUNN, Anne K., et al. "A vector for promoter trapping in <i>Bacillus cereus</i> " Gene 226, 1999, 297-305, Elsevier Science Ltd., Great Britain							
	23	DURICK K, ET AL "Hunting Wth Traps," Genome Wide Strategies For Gene Discovery and Functional Analysis", Genome Research 9 (11) 1019-1025 (1999)							
	24	FRIEDRICH G., et al "41 Insertional Mutagenesi By Retroviruses and Promoter Traps In Embryonic Stem Cells" Methods in Enzymology, Academic Press Inc, San Diego, CA, US. 225: 681-701 (1993) - XP 002911853							
	25					between Protein and mRNA 99, 1720-1730, USA	Abundance in Yeast" Molecular and		
	26	HARDOU Genesis	JIN, Na 26, 200	thalie et al. "Ge 0, 245-252, Wil	ne-T ley-L	rap Based Targrt Site for C iss, Inc. Canada	re-Mediated Transgenic Insertion"		
	27	ISHIDA, Yasumasa et al., "RET: a poly A-trap retrovirus vector for reversible disruption and expression monitoring of genes in living cells" Nucleic Acids Research 1999, 1-11, Oxford Journals Online, Great Britain							
	28	KERR, William G., et al. "Analysis of lipopolysaccharide-response genes in B-lineage cells demonstrates that they can have differentiation stage-restricted expression and contain SH2 domains" Proc. Natl. Acad. Sci. USA, Vol. 93, pp. 3947-3952, April 1996, Genetics XP-002091218							
	29	MULLEN, Craig A. "Metabolic Suicide Genes In Gene Therapy" Pharmaceutical Therapy, Vol. 63, 1995, 199-207, Elsevier Science Ltd., Great Britain							
	30	REDDY, et al "Fluorescence Activated Sorting of Totipotent Embryonic Stem Cells Expressing Developmentally Regulated LacZ Fusion Genes," <u>Proceedings of the National Academy of Sciences of USA 89 6721-6725 (1992)</u> - XP-002115386							
	31	Hematop	oietic a		Line	ages by Gene Trapping in E	on of Novel Genes Expressed in S Cells, Blood, Vol. 92, No. 12		

Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Commissioner for Patents, Washington, DC 20231. Form PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitu	ute for form 1449B/	РТО		C	Complete if Known
				Application Number	10/810,976
INFO	RMATION D	ISC	LOSURE	Filing Date	March 26, 2004
STATEMENT BY APPLICANT				First Named Inventor	YOUNG, Won-Bin, et al.
<b>U</b>	OTATEMENT BY AT LIGANT			Group Art Unit	1653
(Use as many sheets as necessary)			cessary)	Examiner Name	
Sheet	3	of	3	Attorney Docket Number	P05768US01

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	32	SUAREZ, Antonio, et al. "Green fluorescent protein-based reporter systems for genetic analysis of bacteria including monocopy applications" Gene, 1997 Elsevier Science Ltd., Great Britain	
	33	TATE, Peri et al. "Capturing novel mouse genes encoding chromosomal and other nuclear proteins" Journal of Cell Science 111, 2575-2585 (1998) Great Britain	
	34	TIMMONS, Lisa et al. "Green Fluorescent Protein/β-galactosidase Double Reporters for Visualizing Drosophila Gene Expression Patterns" Developmental Genetics 20:338-347 (1997)	
	35	YEH, Edward et al. "Green Fluorescent protein as a vital marker and a reporter of gene expression in Drosophila" Proc. Natl. Acad. Sci., Vol. 92, 1995, 7036-7040, Canada	
	36	ZHENG,Xiangqun H., et al. "An Avian Sarcoma/Leukosis Virus-Based Gene Trap Vector for Mammalian Cells" Journal of Virology, Vol. 73, 1999, p. 6946-6952	

			1000
Examiner		Date	
Signature		Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.